

SUPPLIER FOOD FRAUD VULNERABILITY ASSESSMENT QUESTIONNAIRE**COMPANY DETAILS**

Name: Qingdao Bright Moon Seaweed Group Co., Ltd.
 Address: No.777 Mingyue Road, Huangdao District, Qingdao, China.

INSTRUCTIONS FOR FILLING UP THIS FORM

1. Answer each question by putting a mark on either the "Yes" or "No" column. Place any additional statements, objective evidences or clarifications in the "Remarks" column if answer is YES.
2. Accomplish questionnaire for each raw material supplied to Doxo Ingredients Inc.

MATERIALS DETAILS

Name: Sodium Alginate
 Item Code: MV
 Country of Origin: China

FOOD FRAUD VULNERABILITY ASSESSMENT			
QUESTIONS	YES	NO	REMARKS
I.			
A. RAW MATERIAL MONITORING AND CONTROL			
1. Does your organization have methods in place for fraud detection of raw materials and reporting of suspicious materials? Please provide procedure.	X		the procedure as per the attachment
2. Is the raw material easy to adulterate or counterfeit?		X	
3. Can adulteration or counterfeiting of your raw materials be easily detected?	X		
4. Is there reports of fraudulent incidents of similar raw materials?		X	
5. Do special attributes or components determine the value of the raw material supplied?	X		
6. Is the raw material supplies and prices stable?	X		
B. PROCESS MONITORING AND INVENTORY CONTROL			
1. Does your production lines/ processing activities or inventory control require frequent modification?		X	
2. Is your process area properly controlled and where unauthorized personnel are not allowed inside these areas?	X		
3. Is there a process monitoring / traceability system in place with accurate information on material balance and material flow?	X		
C. STATUS OF ORGANIZATION			
1. Is your company in good economic/ financial condition?	X		
2. Does your business strategy includes food quality and safety goals?	X		
3. Has your company been involved in criminal offences or been a victim of food fraud?		X	
4. Does your company follow ethical code of conduct when doing business?	X		
5. Does your company have fraud contingency measures in place?	X		
D. HUMAN RESOURCES MONITORING AND CONTROL			
1. Does your company have a procedure for integrity screening of employees?	X		
2. Does your organization have a code of conduct or guideline in place?	X		
3. Does your organization have a whistle-blowing system in place?	X		
E. FINISHED PRODUCT MONITORING AND CONTROL			
1. Are your finished products easy to adulterate or counterfeit?		X	
2. Can adulteration or counterfeiting of your finished products be easily detected?	X		
QUESTIONS	YES	NO	REMARKS
3. Does your organization have methods in place for fraud detection of finished products and reporting of suspicious goods?	X		
4. Have you had reports of fraudulent incidents of finished products similar to the ones you		X	
II.			
A. SUPPLIER'S SUPPLY CHAIN SUSTAINABILITY AND TRANSPARENCY			
1. Is your supply chain integrated and well-coordinated, with information flowing freely across the chain?	X		
2. Are your business relationships long-term?	X		
3. Do your suppliers gave a code of conduct?	X		
4. Have your direct suppliers been involved in criminal offenses or been a victim of food	X		
5. Do your direct suppliers have an established food safety management system (FSMS) in	X		

6. Does your direct suppliers' FSMS include fraud control measures?	X		
7. Does your direct suppliers have a process monitoring or traceability system in place with accurate information on material balance and material flow?	X		
8. Do contractual requirements with your direct suppliers include elements that limit opportunities for fraud?	X		
9. Does your direct suppliers have a system to capture and report possible fraudulent materials or activities?	X		
B. STATUS OF THE COUNTRY OF ORIGIN AND OPERATION			
<p>1. Is the corruption level in the country of origin of the material being supplied low, medium</p> <p><i>Please use the data from the Transparency International Corruption Perception Index, http://www.transparencv.org</i></p> <p>Low = 1- 25 on the index</p> <p>Medium = 26-75 on the index</p> <p>High = 76 and above on the index</p> <p>If the index is low, please place a check mark on the "yes" column. Otherwise, place the check mark in the "no" column. Write the name of the country and its index value in the</p>	X		
<p>2. Is the corruption level in the country where your company is low, medium or high?</p> <p><i>Please use the data from the Transparency International Corruption Perception Index, http://www.transparencv.org</i></p> <p>Low = 1- 25 on the index</p> <p>Medium = 26-75 on the index</p> <p>High = 76 and above on the index</p> <p>If the index is low, please place a check mark on the "yes" column. Otherwise, place the check mark in the "no" column. Write the name of the country and its index value in the</p>	X		
3. Is the food policy of the country of origin well-established?	X		
4. Are there defined legislation for food fraud mitigation?	X		
5. Does the country have a systematic fraud- related enforcement practices with fines/ sanctions imposed on perpetrators?	X		